

Certificate of Registration

INFORMATION SECURITY MANAGEMENT SYSTEM - ISO/IEC 27001:2022

This is to certify that: KGI Financial Holding Co.,
Ltd.
(Building D) 8F.
No. 19-3, Sanchong Rd.
Nangang Dist.
Taipei City
115601
Taiwan

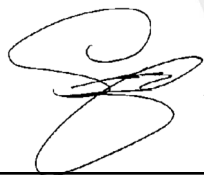
Holds Certificate No: **IS 720679**

and operates an Information Security Management System which complies with the requirements of ISO/IEC 27001:2022 for the following scope:

The provision of (1) development, operation and maintenance of information system, network and server room management and other supporting activities for KGI Financial Holding Co., Ltd. within IT Governance Section, Digital Technology & Architecture Section and Application & Infrastructure Service Section of IT and Digital Innovation Department; (2) management of related information security activities for KGI Financial Holding Co., Ltd. within Information Security Department.

This is in accordance with the Statement of Applicability dated 18 November 2024.

For and on behalf of BSI:



Michael Lam, Senior Vice President, APAC Assurance

Original Registration Date: 2019-12-19

Latest Revision Date: 2024-12-18

Effective Date: 2022-12-19

Expiry Date: 2025-12-18

Page: 1 of 2



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Certificate No: **IS 720679**

Location	Registered Activities
KGI Financial Holding Co., Ltd. (Building D) 8F. No. 19-3, Sanchong Rd. Nangang Dist. Taipei City 115601 Taiwan	The provision of (1) server room management and other supporting activities for KGI Financial Holding Co., Ltd. within Application & Infrastructure Service Section of IT and Digital Innovation Department; (2) management of related information security activities for KGI Financial Holding Co., Ltd. within Information Security Department.
KGI Financial Holding Co., Ltd. 10F. No. 1, Sec. 4, Nanjing E. Rd. Songshan Dist. Taipei City 105609 Taiwan	The provision of development, operation and maintenance of information system, network and server room management and other supporting activities for KGI Financial Holding Co., Ltd. within IT Governance Section, Digital Technology & Architecture Section and Application & Infrastructure Service Section of IT and Digital Innovation Department.
KGI Financial Holding Co., Ltd. No. 8, Guoqing Rd., Banqiao Dist. New Taipei City 220063 Taiwan	The provision of management of related server room activities. (co-location)

Original Registration Date: 2019-12-19

Latest Revision Date: 2024-12-18

Effective Date: 2022-12-19

Expiry Date: 2025-12-18

Page: 2 of 2

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Taiwan Headquarters: 2nd Floor, No.37, Ji-Hu Rd., Nei-Hu Dist., Taipei 114, Taiwan, R.O.C.

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Certificate TW16/00067 / 證書 TW16/00067

The management system of / 下述組織

KGI Life Insurance Co., Ltd.

凱基人壽保險股份有限公司

3~7F., No.135, DunHua N. Rd., Songshan Dist., Taipei City 105, Taiwan
105 臺北市松山區敦化北路 135 號 3~7 樓

has been assessed and certified as meeting the requirements of
的管理系統已經過審核，並被證明符合下述要求

ISO/IEC 27001:2022

For the following activities / 所涵蓋的活動範圍

Provision of the following information security related management activities in accordance with the
Statement of Applicability version 9.0.

The provision of system development, operation, maintenance and management of application systems,
data center and network infrastructure within Information Technology Division, Data Engineering Section of
Data Development Department, and Information Security Department.

依據適用性聲明書版本 9.0，由資訊處、數據發展部之數據工程科，和資訊安全部提供之資訊安全管理
活動，涵蓋應用系統開發與維運、資料中心基礎設施和網路服務。

This certificate is valid from 15 January 2025 until 15 January 2028 and remains valid subject to satisfactory surveillance
audits.

此證書的有效期自 2025 年 01 月 15 日 至 2028 年 01 月 15 日 且其有效性應繫於持續符合的定期稽核

Issue 8. Certified since 15 January 2016

版次 8. 原始註冊日期 2016 年 01 月 15 日

Certified activities performed by additional sites are listed on subsequent pages.

其它場區/合法實體之驗證活動於後續頁上列出

L. Moran

Authorised by / 簽署

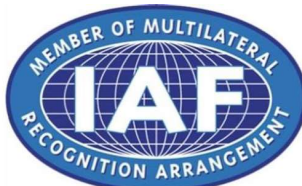
Liz Moran

Business Manager

SGS United Kingdom Ltd

Rossmore Business Park, Ellesmere Port, Cheshire, CH65 3EN, UK

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Certificate TW16/00067, continued / 證書 TW16/00067, 續

KGI Life Insurance Co., Ltd.

凱基人壽保險股份有限公司

SGS

ISO/IEC 27001:2022

Issue / 版次 8

Sites / 場區

KGI Life Insurance Co., Ltd.

凱基人壽保險股份有限公司

3~7F., No.135, DunHua N. Rd., Songshan Dist., Taipei City 105, Taiwan

105 臺北市松山區敦化北路 135 號 3~7 樓

Provision of the following information security related management activities in accordance with the Statement of Applicability version 9.0.

The provision of system development, operation, maintenance and management of application systems, data center and network infrastructure within Information Technology Division, Data Engineering Section of Data Development Department, and Information Security Department.

依據適用性聲明書版本 9.0，由資訊處、數據發展部之數據工程科，和資訊安全部提供之資訊安全管理活動，涵蓋應用系統開發與維運、資料中心基礎設施和網路服務。

KGI Life Insurance Co., Ltd. Dunbei Building

凱基人壽保險股份有限公司敦北大樓

6F, No.122, DunHua N. Rd., Songshan Dist., Taipei City 105, Taiwan

105 臺北市松山區敦化北路 122 號 6 樓

The provision of data center and network infrastructure related information security management activities within Information system division.

資料中心基礎設施和網路服務相關資訊安全管理活動。



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Certificate of Registration

INFORMATION SECURITY MANAGEMENT SYSTEM - ISO/IEC 27001:2022

This is to certify that: KGI Bank Co., Ltd.
(Building D) 8F.
No. 19-3, Sanchong Rd.
Nangang Dist.
Taipei City
115601
Taiwan

Holds Certificate No: **IS 720676**

and operates an Information Security Management System which complies with the requirements of ISO/IEC 27001:2022 for the following scope:

The provision of (1) development, operation and maintenance of information system, network and server room management and other supporting activities within IT Division; (2) management of related information security activities within Information Security Department; (3) management of insurance broker service within Insurance Department of Wealth Management Division, including paperless application and paper application process. This is in accordance with the Statement of Applicability dated 9 September 2024.



For and on behalf of BSI:

Michael Lam - Managing Director Assurance, APAC

Original Registration Date: 2019-12-19

Latest Revision Date: 2024-10-30

Effective Date: 2022-12-19

Expiry Date: 2025-12-18

Page: 1 of 2



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Certificate No: **IS 720676**

Location	Registered Activities
KGI Bank Co., Ltd. (Building D) 8F. No. 19-3, Sanchong Rd. Nangang Dist. Taipei City 115601 Taiwan	The provision of (1) server room management and other supporting activities within IT Division; (2) management of related information security activities within Information Security Department.
KGI Bank Co., Ltd. 5F. No. 188, Jingping Rd. Zhonghe Dist. New Taipei City 235606 Taiwan	The provision of development, operation and maintenance of information systems, network and server room management and other supporting activities within IT Division.
KGI Bank Co., Ltd. 10F. No. 135, Dunhua N. Rd. Songshan Dist. Taipei City 105021 Taiwan	The provision of management of insurance broker service within Insurance Department of Wealth Management Division, including paperless application and paper application process.
KGI Bank Co., Ltd. No. 8, Guoqing Rd., Banqiao Dist. New Taipei City 220063 Taiwan	The provision of management of related server room activities. (co-location)

Original Registration Date: 2019-12-19

Latest Revision Date: 2024-10-30

Effective Date: 2022-12-19

Expiry Date: 2025-12-18

Page: 2 of 2

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Certificate of Registration

INFORMATION SECURITY MANAGEMENT SYSTEM - ISO/IEC 27001:2022

This is to certify that: KGI Securities Co. Ltd.
No. 700, Mingshui Rd.
Zhongshan Dist.
Taipei City
104451
Taiwan

Holds Certificate No: **IS 655142**

and operates an Information Security Management System which complies with the requirements of ISO/IEC 27001:2022 for the following scope:

The provision of the design, development, operation and maintenance of information systems, network management, server room activities of Chunghwa Xinyi Internet Data Center, Chunghwa Renai Internet Data Center, Chunghwa Banqiao Internet Data Center, and related supporting information processing activities for KGI Securities Co. Ltd., KGI Futures Co. Ltd., KGI Securities Investment Advisory Co. Ltd., KGI Insurance Brokers Co. Ltd., and KGI Venture Capital Co. Ltd. within Information Technology Department.

This is in accordance with the Statement of Applicability dated 19 November 2024.



For and on behalf of BSI:

Michael Lam, Senior Vice President, APAC Assurance

Original Registration Date: 2016-12-15

Latest Revision Date: 2024-12-06

Effective Date: 2022-12-15

Expiry Date: 2025-12-14

Page: 1 of 3



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Certificate No: **IS 655142**

Location	Registered Activities
KGI Securities Co. Ltd. No. 700, Mingshui Rd. Zhongshan Dist. Taipei City 104451 Taiwan	The provision of the design, development, operation and maintenance of information systems of Chunghwa Xinyi Internet Data Center, Chunghwa Renai Internet Data Center, Chunghwa Banqiao Internet Data Center, and related supporting information processing activities for KGI Securities Co. Ltd., KGI Futures Co. Ltd., KGI Securities Investment Advisory Co. Ltd., KGI Insurance Brokers Co. Ltd., and KGI Venture Capital Co. Ltd. within Information Technology Department.
KGI Securities Co., Ltd. 14F. No.2, Sec.1, Chongqing S. Rd. Zhongzheng Dist. Taipei City 100502 Taiwan	The provision of the design, development, operation and maintenance of information systems of Chunghwa Xinyi Internet Data Center, Chunghwa Renai Internet Data Center, Chunghwa Banqiao Internet Data Center, and related supporting information processing activities for KGI Securities Co. Ltd., KGI Futures Co. Ltd., KGI Securities Investment Advisory Co. Ltd., KGI Insurance Brokers Co. Ltd., and KGI Venture Capital Co. Ltd. within Information Technology Department.
KGI Securities Co. Ltd. 13F,14F. No. 100, Sec. 1, Zhongxiao W. Rd. Zhongzheng Dist. Taipei City 100003 Taiwan	The provision of the design, development, operation and maintenance of information systems, network management, server room activities of Chunghwa Xinyi Internet Data Center, Chunghwa Renai Internet Data Center, Chunghwa Banqiao Internet Data Center, and related supporting information processing activities for KGI Securities Co. Ltd., KGI Futures Co. Ltd., KGI Securities Investment Advisory Co. Ltd., KGI Insurance Brokers Co. Ltd., and KGI Venture Capital Co. Ltd. within Information Technology Department.
KGI Securities Co. Ltd. No. 21, Section 1, Xinyi Road, Zhongzheng Dist. Taipei City 100012 Taiwan	The provision of server room activities. (colocation)
KGI Securities Co. Ltd. No. 26, Section 1, Hangzhou South Road, Zhongzheng Dist. Taipei City 100019 Taiwan	The provision of server room activities. (colocation)

Original Registration Date: 2016-12-15

Effective Date: 2022-12-15

Latest Revision Date: 2024-12-06

Expiry Date: 2025-12-14

Page: 2 of 3

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Certificate No: **IS 655142**

Location

KGI Securities Co. Ltd.
No. 8, Guoqing Road,
Banqiao Dist.
New Taipei City
220063
Taiwan

Registered Activities

The provision of server room activities. (colocation)

Original Registration Date: 2016-12-15
Latest Revision Date: 2024-12-06

Effective Date: 2022-12-15
Expiry Date: 2025-12-14

Page: 3 of 3

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