

Certificate TW24/00000513

The management system of

# KGI Securities Co., Ltd.

3F., No. 700 & 3F., No.698, Mingshui Rd., Zhongshan Dist., Taipei City 104451, Taiwan

has been assessed and certified as meeting the requirements of

## ISO 14001:2015

For the following activities

Provides private and institutional brokerage and investment banking to individual and institutional clients.

This certificate is valid from 23 June 2024 until 23 June 2027 and remains valid subject to satisfactory surveillance audits.

Issue 1. Certified since 23 June 2024

Multiple certificates have been issued for this scope, the main certificate is numbered TW24/00000513

Certified activities performed by additional sites are listed on subsequent pages.

Authorised by  
Jonathan Hall  
Global Head - Certification  
Services

SGS United Kingdom Ltd  
Rossmore Business Park, Ellesmere Port, Cheshire, CH65 3EN, UK  
t +44 (0)151 350-6666 - www.sgs.com



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Certificate TW24/00000513, continued  
**KGI Securities Co., Ltd.**

**SGS**

**ISO 14001:2015**

Issue 1

**Sites**

KGI Securities Co., Ltd.  
3F., No. 700 & 3F., No.698, Mingshui Rd., Zhongshan Dist., Taipei City 104451, Taiwan  
Provides private and institutional brokerage and investment banking to individual and institutional clients.

KGI Futures Co., Ltd.  
6F, 12F, 13F, No. 2, Sec. 1, Chongqing S. Rd., Zhongzheng Dist., Taipei City 100502, Taiwan  
Provides private and institutional brokerage and investment banking to individual and institutional clients.

KGI Securities Co., Ltd.  
4, 5 & 14F, No. 2, Sec. 1, Chongqing S. Rd., Zhongzheng Dist., Taipei City 100502, Taiwan  
Provides private and institutional brokerage and investment banking to individual and institutional clients.

KGI Securities Co., Ltd.  
13 & 14F, No. 100 & 102, Sec. 1, Zhongxiao W. Rd., Zhongzheng Dist., Taipei City 100003, Taiwan  
Provides private and institutional brokerage and investment banking to individual and institutional clients.



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