

Certificate of Registration

INFORMATION SECURITY MANAGEMENT SYSTEM - ISO/IEC 27001:2022

This is to certify that: KGI Securities Co. Ltd.
No. 700, Mingshui Rd.
Zhongshan Dist.
Taipei City
104451
Taiwan

Holds Certificate No: **IS 655142**

and operates an Information Security Management System which complies with the requirements of ISO/IEC 27001:2022 for the following scope:

The provision of the design, development, operation and maintenance of information systems, network management, server rooms management activities of Chunghwa Xinyi Internet Data Center, Chunghwa Renai Internet Data Center, Chunghwa Banqiao Internet Data Center, and related supporting information processing activities for KGI Securities Co. Ltd., KGI Futures Co. Ltd., KGI Securities Investment Advisory Co. Ltd., KGI Insurance Brokers Co. Ltd., and KGI Venture Capital Co. Ltd. within Information Technology Department and Digital Innovation and Transformation.

This is in accordance with the Statement of Applicability dated 7 November 2025.

For and on behalf of BSI:

Michael Lam, Senior Vice President, APAC Assurance

Original Registration Date: 2016-12-15

Latest Revision Date: 2025-11-24

Effective Date: 2025-12-15

Expiry Date: 2028-12-14

Page: 1 of 3



...making excellence a habit.™

Certificate No: **IS 655142**

Location	Registered Activities
KGI Securities Co. Ltd. No. 700, Mingshui Rd. Zhongshan Dist. Taipei City 104451 Taiwan	The provision of the design, development, operation and maintenance of information systems and related supporting information processing activities for KGI Securities Co. Ltd., KGI Futures Co. Ltd., KGI Securities Investment Advisory Co. Ltd., KGI Insurance Brokers Co. Ltd., and KGI Venture Capital Co. Ltd. within Information Technology Department.
KGI Securities Co., Ltd. 14F. No.2, Sec.1, Chongqing S. Rd. Zhongzheng Dist. Taipei City 100502 Taiwan	The provision of the design, development, operation and maintenance of information systems and related supporting information processing activities for KGI Securities Co. Ltd., KGI Futures Co. Ltd., KGI Securities Investment Advisory Co. Ltd., KGI Insurance Brokers Co. Ltd., and KGI Venture Capital Co. Ltd. within Information Technology Department.
KGI Securities Co. Ltd. 13F,14F. No. 100, Sec. 1, Zhongxiao W. Rd. Zhongzheng Dist. Taipei City 100003 Taiwan	The provision of the design, development, operation and maintenance of information systems, network management, server room activities of Chunghwa Xinyi Internet Data Center, Chunghwa Renai Internet Data Center, Chunghwa Banqiao Internet Data Center, and related supporting information processing activities for KGI Securities Co. Ltd., KGI Futures Co. Ltd., KGI Securities Investment Advisory Co. Ltd., KGI Insurance Brokers Co. Ltd., and KGI Venture Capital Co. Ltd. within Information Technology Department and Digital Innovation and Transformation.
KGI Securities Co. Ltd. No. 21, Section 1, Xinyi Road, Zhongzheng Dist. Taipei City 100012 Taiwan	The provision of management of related server room activities. (co-location)
KGI Securities Co. Ltd. No. 26, Section 1, Hangzhou South Road, Zhongzheng Dist. Taipei City 100019 Taiwan	The provision of management of related server room activities. (co-location)

Original Registration Date: 2016-12-15

Latest Revision Date: 2025-11-24

Effective Date: 2025-12-15

Expiry Date: 2028-12-14

Page: 2 of 3

This certificate relates to the information security management system, and not to the products or services of the certified organisation. The certificate reference number, the mark of the certification body and/or the accreditation mark may not be shown on products or stated in documents regarding products or services. Promotion material, advertisements or other documents showing or referring to this certificate, the trademark of the certification body, or the accreditation mark, must comply with the intention of the certificate. The certificate does not of itself confer immunity on the certified organisation from legal obligations.

This certificate was issued electronically and remains the property of BSI and is bound by the conditions of contract.

An electronic certificate can be authenticated [online](#).

Printed copies can be validated at www.bsi-global.com/ClientDirectory or telephone +886 (02)2656-0333.

Taiwan Headquarters: 2nd Floor, No.37, Ji-Hu Rd., Nei-Hu Dist., Taipei 114, Taiwan, R.O.C.

A Member of the BSI Group of Companies.

Certificate No: **IS 655142**

Location

KGI Securities Co. Ltd.
No. 8, Guoqing Road,
Banqiao Dist.
New Taipei City
220063
Taiwan

Registered Activities

The provision of management of related server room activities. (co-location)

Original Registration Date: 2016-12-15

Latest Revision Date: 2025-11-24

Effective Date: 2025-12-15

Expiry Date: 2028-12-14

Page: 3 of 3

This certificate relates to the information security management system, and not to the products or services of the certified organisation. The certificate reference number, the mark of the certification body and/or the accreditation mark may not be shown on products or stated in documents regarding products or services. Promotion material, advertisements or other documents showing or referring to this certificate, the trademark of the certification body, or the accreditation mark, must comply with the intention of the certificate. The certificate does not of itself confer immunity on the certified organisation from legal obligations.

This certificate was issued electronically and remains the property of BSI and is bound by the conditions of contract.
An electronic certificate can be authenticated [online](#).
Printed copies can be validated at www.bsi-global.com/ClientDirectory or telephone +886 (02)2656-0333.

Taiwan Headquarters: 2nd Floor, No.37, Ji-Hu Rd., Nei-Hu Dist., Taipei 114, Taiwan, R.O.C.
A Member of the BSI Group of Companies.